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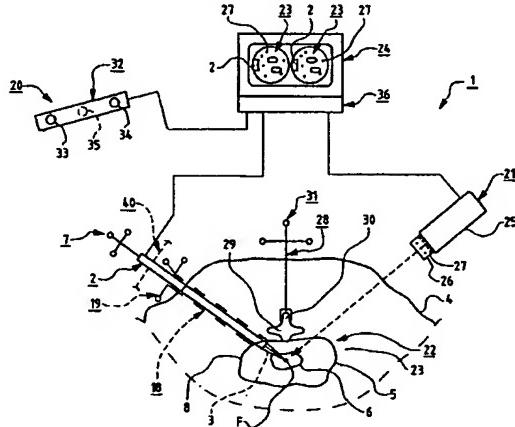
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(54) Title: DEVICE FOR MINI-INVASIVE ULTRASOUND TREATMENT OF DISC DISEASE



WO 02/05897 A1

(57) Abstract: Device for miniinvasive ultrasound treatment of disc disease. A therapeutic ultrasound transducer (2) is provided for treatment of the disc (5), of a patient (4) by generating an ultrasonic field (3), of which the temperature focus (F) is located in the disc (5), for heating thereof. The device comprises an optical navigating device (20) with a signal receiving or signal sending unit (32). A reference device (28) has a set position relative to the disc (5). The Therapeutic ultrasound transducer (2) is provided for insertion through the skin of the patient (4) and engagement of the disc (5), preferably anulus fibrosus (8), and it has a flexible wall with an ultrasound transmitting element provided within the flexible wall. Between the flexible wall and the ultrasound transmitting element there is located at least one cooling chamber (11) with cooling liquid for cooling the ultrasound transmitting element and the tissue closest to the therapeutic ultrasound transducer (2) and a temperature sensor is provided to measure the temperature in the disc (5), preferably anulus fibrosus (8).

## 1.

Device for mini-invasive ultrasound treatment of disc disease.

The present invention relates to a device for mini-invasive ultrasound treatment of disc disease, wherein at least one therapeutic ultrasound transducer is provided for treatment of the disc, preferably nucleus pulposus, of a patient by generating by means of said therapeutic ultrasound transducer an ultrasonic field, the temperature focus of which is located in the disc, preferably nucleus pulposus, for heating thereof.

The intervertebral disc consists of an outer fibrous tissue ring, anulus fibrosus, and an inner, more viscous part, nucleus pulposus. The disc functions as a shock absorber and if anulus fibrosus breaks, e.g. a small fissuring, disc matter may find its way out and cause a compression of nerve roots and induce an inflammatory reaction.

Prolapsed intervertebral discs have been treated surgically since the thirties by removal of the displaced disc matter and/or a part of the bulging disc. Later, the surgical treatment has developed towards less invasive operations and now, microscopes and percutaneous techniques are used for removing disc matter. An alternative method for surgical treatment is chemonucleolysis, where the enzyme chymopapain is injected into nucleus pulposus, the central part of the disc. The enzyme polymerizes the long proteoglycan chains in nucleus pulposus with subsequent loss of the hygroscopicity. This reduces the volume and pressure in nucleus pulposus and the bulging part of the disc, which explains the pain relief patients with sciatica experience after chemonucleolysis. The method has proven to give pain relief in 75 per cent of the cases and has a well documented cost efficiency. Unfortunately, the method has caused serious allergic reactions in about 1 per cent of the cases. Next step in the development could be a non-invasive treatment or therapy of prolapsed inter-

## 2.

vertebral discs, which preferably should be painless, avoid the risk for infections and carried through ambulatory.

- A method for thermotherapy and coagulation of tissue
- 5 involves use of focused ultrasound with high intensity. The ultrasound pass well through soft tissue and can be focused on remote spots within a surface of a few millimeters. The energy absorption in the tissue increases the temperature with a sharp temperature gradient such that
- 10 the boundaries of the treated volume are clearly limited without causing any damages on the surrounding tissue (US 5 291 890, US 5 501 655). Ultrasound treatment or therapy of prolapsed intervertebral discs is previously known (EP 0 872 262).
- 15 Heat treatment or thermotherapy of discs has proven successful in a method called IDET (US 6 073 051, US 6 007 570, US 5 980 504). The method has as its aim to insert a catheter into the disc by means of a cannula. Farthest out on the catheter there is a spool which is
- 20 heated by applying a radio frequency voltage thereon (US 5 785 705). The heat is increased to about 90°C in nucleus pulposus where the heating element of the catheter has been located and treatment or therapy is carried through for about 15 minutes.
- 25 Surgery with focused ultrasound has several advantages compared with other thermal techniques. In the first place, it is non-invasive, secondly, focus can be made movable and thirdly, the energy can be supplied in a few seconds. The limitation of ultrasound is its
- 30 absorption in bone and its poor penetration through gas-filled passages. Clinical applications of ultrasound surgery are today mostly used in ophtalmic surgery, urology and oncology. The effect of ultrasound can be divided into thermal and non-thermal effects.
- 35 The thermal effects of ultrasound are caused by absorption of ultrasound in the tissue. This leads to a temperature increase which is dependent on the parameters

3.

of the ultrasound (frequency and intensity) and the acoustic properties of the tissue. The absorption of ultrasound in musculoskeletal tissues increases with the apatite and protein content, which means high absorption in bone, cartilage, tendons and ligaments. Water however, has a low ultrasound absorption capacity and can for this reason be used as an acoustic medium between the ultrasound transducer and the tissue. Higher absorption can be expected in anulus fibrosus (high collagen content) than in nucleus pulposus (high water content). This will lead to higher temperatures in the outer part of the intervertebral disc than in the central part. In order to avoid that the temperature in anulus fibrosus exceeds a detrimental level at the same time as the temperature in nucleus pulposus reaches a sufficient level, the ultrasound can be transmitted from several ultrasound sources. In this manner, the fields will overlap each other and increase the effect in nucleus pulposus at the same time as the intensity in the surrounding tissue including anulus fibrosus can be kept low.

The object of the present invention has been to facilitate, at the abovementioned devices, location of the temperature focus of the ultrasonic field of the ultrasound transducer on a desired point in the disc, preferably in nucleus pulposus. This is arrived at according to the invention by means of a device having the characterizing features of subsequent claim 1.

By means of the device defined in the claims, it is achieved that the temperature focus of the ultrasonic field of the therapeutic ultrasound transducer can be located and maintained on the desired point in the disc, preferably in nucleus pulposus.

The invention will be further described below with reference to the accompanying drawings, in which fig. 1 schematically illustrates a structural embodiment of the device according to the invention;

## 4.

fig. 2 schematically illustrates a therapeutic ultrasound transducer forming part of the device according to fig. 1; and

5 fig. 3 schematically illustrates a calibrating device which may form part of a device according to fig. 1.

The treatment device 1 schematically illustrated in fig. 1 is adapted to generate, by means of a therapeutic ultrasound transducer 2 (so called therapeutic transducer), an ultrasonic field 3, the temperature focus F of which is 10 intended to be located in the intervertebral disc 5, preferably in nucleus pulposus 6, of the patient 4 for treatment thereof. The therapeutic ultrasound transducer 2 comprises a plurality of, preferably three or more position transmitters 7 for determining its position.

15 The therapeutic ultrasound transducer 2 is adapted to be inserted through the patient's 4 skin and engage the disc 5, preferably anulus fibrosus 8, to provide a local temperature increase in nucleus pulposus 6 so that enzymes such as collagenase present in the disc are activated and cause decomposition of collagen and proteoglycans, which results in shrinking of nucleus pulposus 6 primarily because of less hygroscopicity. The therapeutic ultrasound transducer 2 can be placed against the disc 5 without perforating anulus fibrosus 8 and thereby 20 transmit the ultrasonic field 3 focused in temperature focus F towards the treatment volume. The transmitter element 9 of the therapeutic ultrasound transducer 2, e.g. a piezoelectric element, may be cooled with water for cooling the crystal and the tissue closest to the therapeutic 25 ultrasound transducer 2 in a similar way as one today does in microwave therapy of cancer in the prostate gland (US 5 964 791).

In order to provide said cooling, the therapeutic ultrasound transducer 2 is provided at its distal end 30 with at least one cooling chamber 11 with cooling liquid 12. This cooling chamber 11 is located between the transmitter element 9 and a membrane-like wall 13 of such

## 5.

flexible material that said wall is able to adapt to the surface of anulus fibrosus 8 when it is brought in contact therewith.

The therapeutic ultrasound transducer 2 further comprises at least one temperature sensor 14 for measuring the temperature before and/or during treatment. In order to increase the volume of therapy or treatment, the direction or setting of the therapeutic ultrasound transducer 2 can be varied such that temperature focus F is scanned over a larger area. The temperature sensor 14 is provided to measure the temperature at the inner side of the flexible wall 13 and it is preferably connected to said wall 13 such that it follows the wall 13 when said wall is deformed when brought in contact with the surface of anulus fibrosus 8.

The cooling liquid 12 is preferably water which is distributed through an inlet passage 15 to the cooling chamber 11 and through an outlet passage 16 therefrom such that the water can circulate through the cooling chamber 11. A sealing means 17 is provided within the transmitter element 9 for preventing cooling liquid 12 from finding its way out of the cooling chamber 11.

In more detail, the therapeutic ultrasound transducer 2 is adapted to cause a local temperature increase in nucleus pulposus 6 so that enzymes such as collagenase present in the disc 5, are activated and cause decomposition of collagen and proteoglycans, which results in shrinking of nucleus pulposus 6 primarily because of less hygroscopicity.

The treatment device 1 may comprise a rigid tube 18 with associated inner portion and several position transmitters 19, preferably three such transmitters. The tube 18 may, by means of optical navigation technique, be inserted dorsolaterally towards the disc 5. The inner portion of the tube 18 is then replaced by the therapeutic ultrasound transducer 2 and said tube 18 is schematically illustrated in fig. 1 with broken lines.

## 6.

The treatment device 1 also comprises an optical navigating device 20 to navigate the therapeutic ultrasound transducer 2 (US 5 772 594). This optical navigating device 20 comprises at least one diagnostic camera 21 which is adapted to produce at least one picture or image of the anatomic structure 23 of the treatment area 22 in a monitor 24. The diagnostic camera 21 may be an X-ray camera 25 taking two pictures of the anatomic structure 23 of the treatment area 22 from different directions 5 with preferably a 90° intermediate angle and showing or displaying these in the monitor 24. At the optical navigating device 20, the X-ray camera 25 is used together with an optical analogue-digital-converter for obtaining or producing a real time image or picture in the monitor 24 10 of the position and direction of the therapeutic ultrasound transducer 2 (US 6 021 343, US 5 834 759, US 5 383 15 454).

The X-ray camera 25 comprises a calibrating device 26 - e.g. a calibrating hood - which is located in front 20 of the objective of the X-ray camera 25 and having markers 27 the mutual distances of which are known. The markers 27 may be round and consist e.g. of tantalum.

The optical navigating device 20 further comprises a reference device 28 which is provided to be attached to 25 the spinous process 30 of a vertebra 29 or in a corresponding position such that it gets a determined or fixed position relative to the treatment area 22. The reference device 28 has several position transmitters 31, namely preferably at least three, and these may consist of metallic material, e.g. tantalum.

Furthermore, the optical navigating device 20 comprises a signal receiving and/or signal sending unit 32. This includes a suitable number of signal receivers 33, 34 for receiving reflected or other signals from the position transmitters 7 and 31 of the therapeutic ultrasound transducer 2 and the reference device 28 respectively. The signal receiving and/or signal sending unit 32 may

## 7.

eventually comprise one or more signal transmitters 35 for sending or transmitting signals to said position transmitters 7 and 31, which are provided to receive these signals.

5       The signals transmitted by the position transmitters 7 and 31 may e.g. be in the form of infrared light and the signal receivers 33, 34 may in such case be receivers of infrared light.

In the treatment device 1 there may also be included a calibrating unit 37 for calibrating the temperature effect of the temperature focus F of the therapeutic ultrasound transducer 2. The calibrating unit 37 has one or more thermoelements 38 by means of which the effect at said temperature focus F can be measured for calibration. The thermoelements 38 are connected to a schematically illustrated measure instrument 39.

Prior to treatment of the disc 5, preferably nucleus pulposus 6, the reference device 28 is located on the patient's 4 vertebra 29 and the therapeutic ultrasound transducer 2 is calibrated in the calibrating unit 37.

Two X-ray pictures are taken of the patient's 4 anatomic structure 23 at the disc 5 and these X-ray pictures are shown on the monitor 24. On these X-ray pictures, the position of the reference device 28 relative to the disc 5 may then be determined by means of the markers 27 of the calibrating device 26.

During treatment of the disc 5, preferably nucleus pulposus 6, the therapeutic ultrasound transducer 2 is navigated by means of the signal receiving or signal sending unit 32, whereby the navigation is presented in the X-ray pictures or images on the monitor 24. This is accomplished while the position transmitters 7 of the therapeutic ultrasound transducer 2 cooperate through signals with the signal transmitters 33, 34 of the signal receiving or signal sending unit 32. By means of said navigation, the therapeutic ultrasound transducer 2 can be positioned such that the temperature focus F of its ultrasonic

## 8.

field 3 will lie in the disc 5, preferably nucleus pulposus 6. The temperature in the temperature focus F preferably exceeds 45°C.

The treatment can be automatically interrupted if  
5 the patient 4 moves to an incorrect position relative to  
the therapeutic ultrasound transducer 2 or vice versa.

The invention is not limited to the embodiment de-  
scribed above, but may vary within the scope of the fol-  
lowing claims. Thus, the treated disc 5 may e.g. be any  
10 disc in the body.

The diagnostic camera 21 may be a computerized tomo-  
graphy (CT) scanner which is provided to produce images  
of said anatomic structure 23 and these images can be  
processed in a computer program or software for obtaining  
15 a 3D-image in the monitor 24.

The therapeutic ultrasound transducer 2 may be provi-  
ded to be positioned manually or be located on a positio-  
ning device 40 for positioning thereof relative to the  
disc 5 to be treated.

## Claims:

1. Device for mini-invasive ultrasound treatment of disc disease, wherein at least one therapeutic ultrasound transducer (2) is provided for treatment of the disc (5), preferably nucleus pulposus (6), of a patient (4) by generating by means of said therapeutic ultrasound transducer (2) an ultrasonic field (3), the temperature focus (F) of which is located in the disc (5), preferably nucleus pulposus (6), for heating thereof,

## characterized in

10 that an optical navigating device (20) comprises at least one diagnostic camera (21) which is adapted to produce at least one picture or image of the anatomic structure (23) of the treatment area (22) within which the disc (5), preferably nucleus pulposus, to be treated, is

15 located,

that the optical navigating device (20) further comprises at least one signal receiving or signal sending unit (32) which is adapted to send signals to and/or receive reflected or other signals from position transmitters

20 (31, 7) on

a) a reference device (28) which has a set position relative to the disc (5), preferably nucleus pulposus (6), and

b) the therapeutic ultrasound transducer (2) such that the position thereof relative to said treatment area (22) can be determined,

25 that the therapeutic ultrasound transducer (2) is provided for insertion through the skin of the patient (4) and engagement of the disc (5), preferably anulus fibrosus (8),

30 that the therapeutic ultrasound transducer (2) at its distal end (10) has a flexible wall (13) with the ability to adapt to the surface of anulus fibrosus (8),

that at least one ultrasound transmitting element (G) 35 is provided within the flexible wall (13),

10.

that between the flexible wall (13) and the ultrasound transmitting element (G) there is located at least one cooling chamber (11) with cooling liquid (12) for cooling the ultrasound transmitting element (G) and the  
5 tissue closest to the therapeutic ultrasound transducer (2), and

that at least one temperature sensor (14) is provided to measure the temperature in the disc (5), preferably anulus fibrosus (8).

10 2. Device according to claim 1, characterized in that cooling liquid (12) is circulated through the cooling chamber (11).

3. Device according to claim 2, characterized in that the cooling liquid (12) is water.

15 4. Device according to any preceding claim, characterized in that the temperature sensor (14) is provided to measure the temperature at the inner side of the flexible wall (13).

20 5. Device according to claim 4, characterized in that the temperature sensor (14) is connected to the flexible wall (13) such that it follows said flexible wall (13) during the deformation thereof when said wall is brought in contact with the disc (5), preferably anulus fibrosus (8).

25 6. Device according to any preceding claim, characterized in that a tube (18) with an associated inner portion is dorsolaterally insertable towards the disc (5) and navigatable by means of the optical navigating device (20) and that said inner portion then is replaced by the therapeutic ultrasound transducer (2).

7. Device according to any preceding claim, characterized in that the diagnostic camera (21) is an X-ray camera (25).

30 8. Device according to claim 7, characterized in that the X-ray camera (25) comprises a calibrating device (26) with markers (27) which are adapted

## 11.

to determine the position of the anatomic structure (23) displayed in a monitor (24) and present at the patient's (4) disc (5).

9. Device according to claim 8, characterized in that the monitor (24) is provided to display two X-ray photographs of said anatomic structure (23) taken with the X-ray camera (25) from two different locations.

10. Device according to any of claims 1-5, characterized in that the diagnostic camera (21) is a computerized tomography (CT) scanner which is provided to produce images of the anatomic structure (23) at the patient's (4) disc (5), said images being processed in a computer program (software) for obtaining a 3D-image in a monitor (24).

15 11. Device according to any preceding claim, characterized in that the signal receiving or signal sending unit (32) is provided to receive or send signals in the form of infrared light and that said position transmitters (7, 31) are provided to send or receive 20 signals in the form of infrared light.

12. Device according to any preceding claim, characterized in that the temperature in the temperature focus (F) of the therapeutic ultrasound transducer (2) exceeds 45°C.

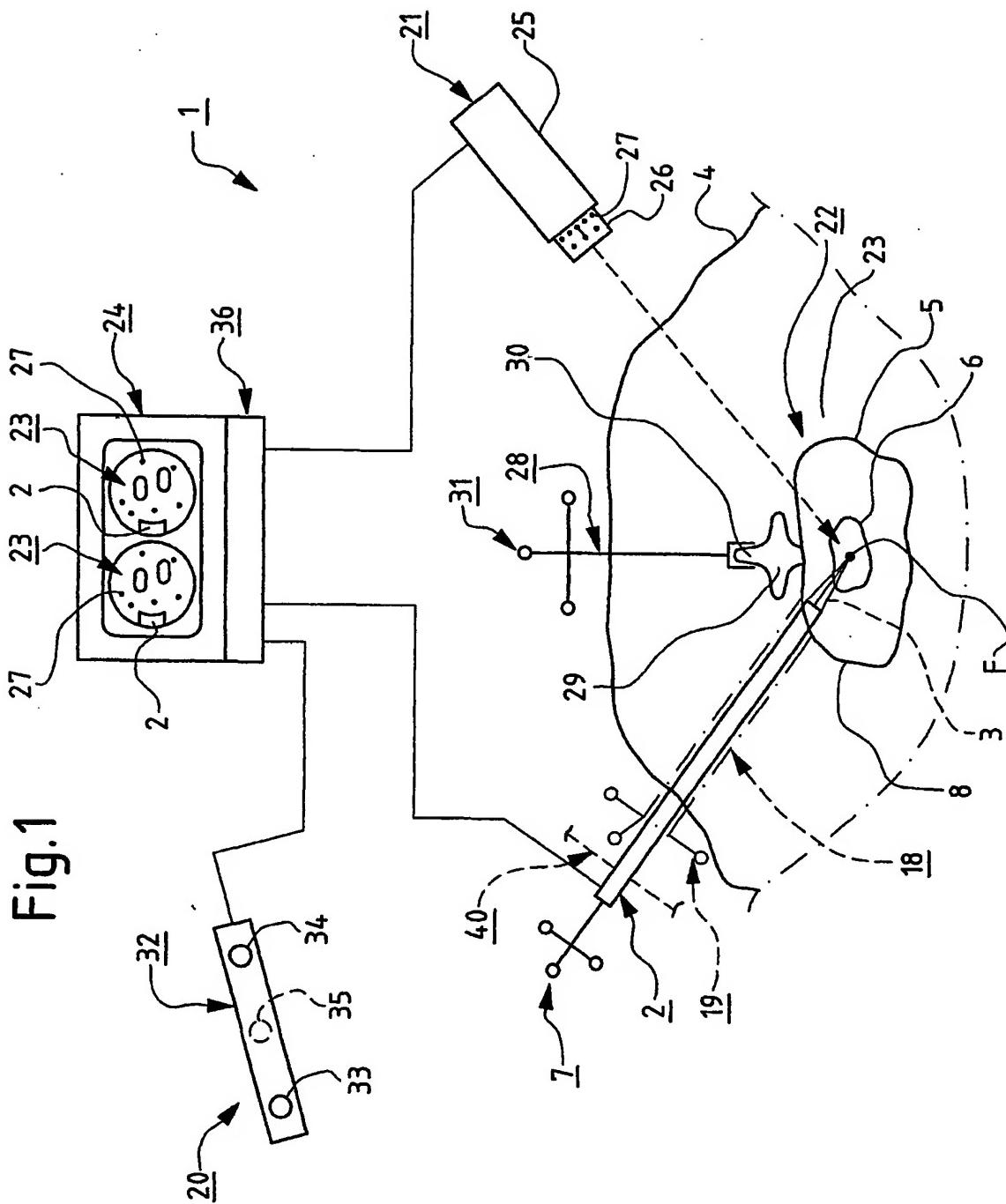
25 13. Device according to any preceding claim, characterized in that a calibrating device (26) is provided for calibrating the effect emitted by the therapeutic ultrasound transducer (2) in the temperature focus (F) of said therapeutic ultrasound transducer (2) and/or the position of said temperature focus (F) relative to the ultrasound transmitting element (G) of the therapeutic ultrasound transducer (2).

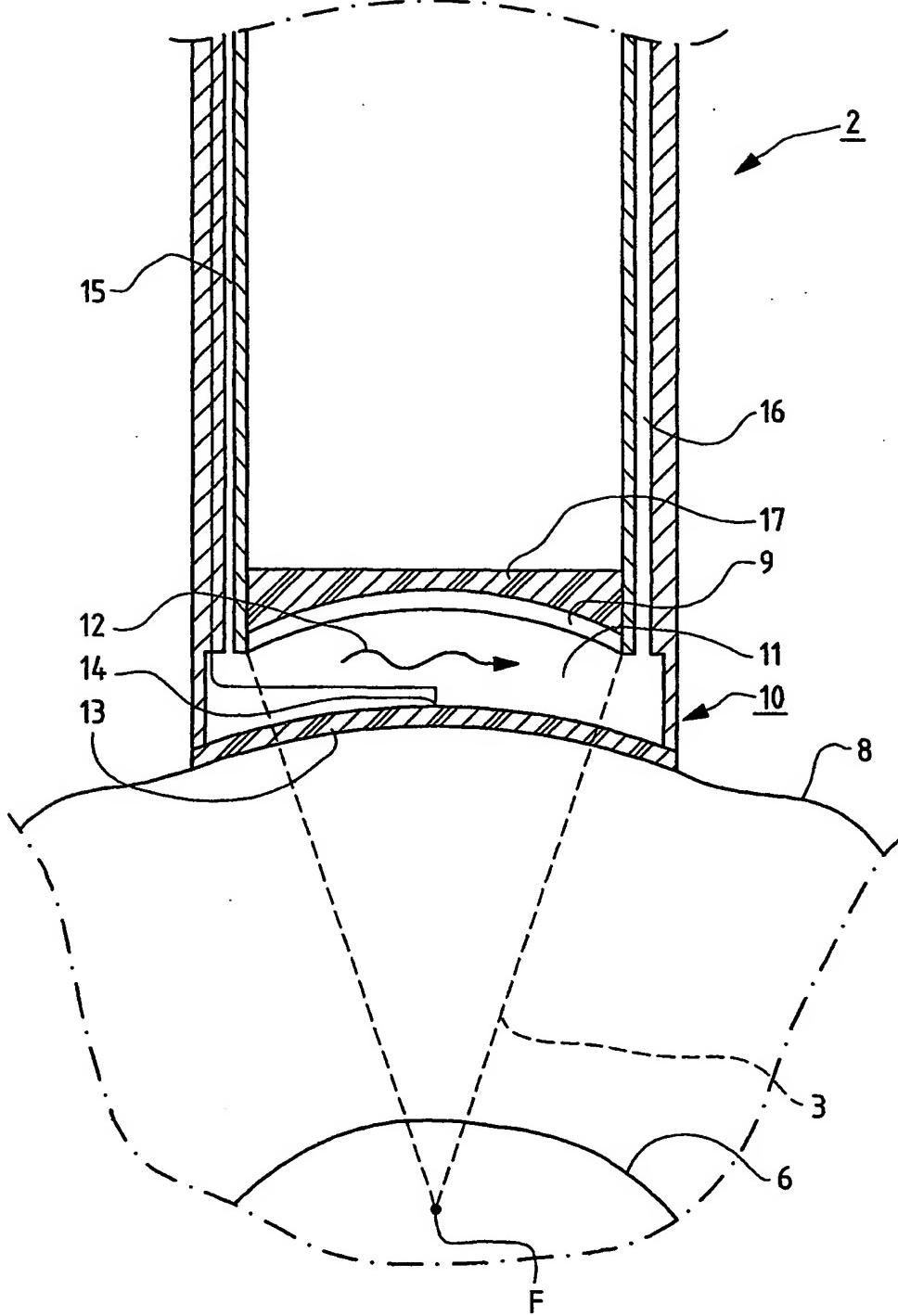
30 14. Device according to any preceding claim, characterized in that the reference device (28) is attached to a vertebra (29) in the patient's vertebral column, preferably to the spinal process (30) of said vertebra (29).

12.

15. Device according to any preceding claim, characterized in that the reference device (28) comprises position transmitters (31) consisting of metallic balls, preferably tantalum balls.

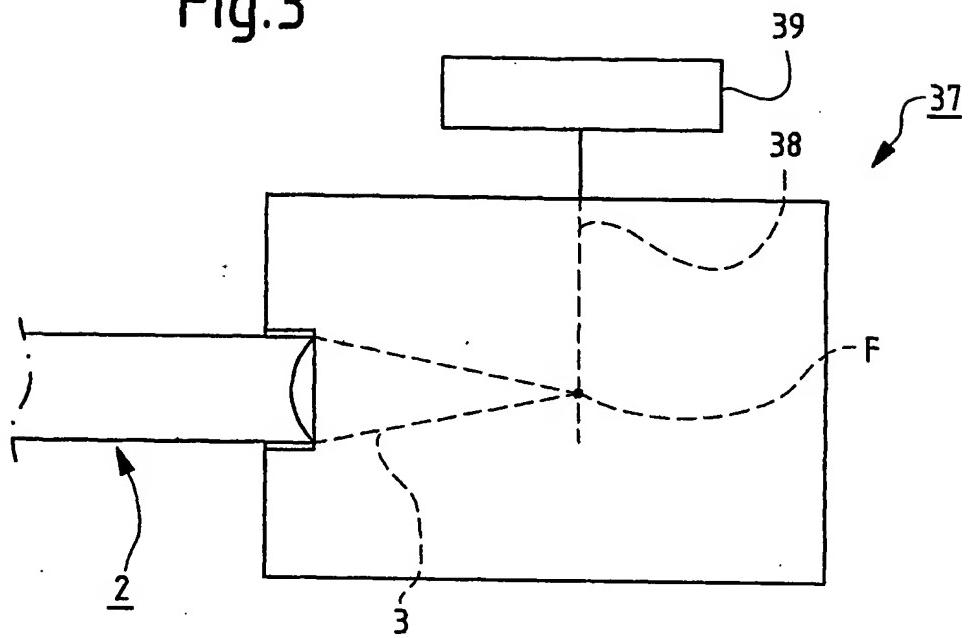
5 16. Device according to claim 15, characterized in that the signal receiving or signal sending unit (32) of the optical navigating device (20) consists of at least one X-ray device.



**Fig.2****SUBSTITUTE SHEET (RULE 26)**

3/3

Fig.3



## INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 01/01626

## A. CLASSIFICATION OF SUBJECT MATTER

IPC7: A61N 7/02, A61B 8/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: A61N, A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5526814 A (H.E. CLINE ET AL.), 18 June 1996 (18.06.96), page 2, line 25 - line 39, figure 1 --	1-16
A	US 6071238 A (J-Y CHAPELON ET AL.), 6 June 2000 (06.06.00), figure 3, abstract --	1-16
A	US 5769790 A (R.D. WATKINS ET AL.), 23 June 1998 (23.06.98), figure 3, abstract --	1-16
A	EP 0872262 A2 (SCANDIMED INTERNATIONAL AB), 21 October 1998 (21.10.98), figure 1, abstract --	1-16

 Further documents are listed in the continuation of Box C. See patent family annex.

\* Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "B" earlier application or patent but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search  27 November 2001	Date of mailing of the international search report  05-12-2001
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## INTERNATIONAL SEARCH REPORT

International application No:

PCT/SE 01/01626

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5443068 A (H.E. CLINE ET AL.), 22 August 1995 (22.08.95), figure 2, abstract --	1-16
A	US 5150712 A (J. DORY), 29 Sept 1992 (29.09.92), figure 1, abstract -----	1-16